APPLICATION FORM FOR SOVEREIGN GOLD BOND 2015-16
(Put v wherever required)

<table>
<thead>
<tr>
<th>Agent’s Name &amp; Code</th>
<th>Sub Agent’s Name &amp; Code, if any</th>
<th>Bank / Post Office Branch</th>
</tr>
</thead>
</table>

Name of Bank/ Post Office  Name of Branch:

1) Applicant(s) Detail [in block letters]

<table>
<thead>
<tr>
<th>Name</th>
<th>Date of Birth</th>
<th>Passport/Aadhar / PAN / TAN / Voter ID No. (any one of the above)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1st Applicant</td>
<td></td>
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<tr>
<td>2nd Applicant</td>
<td></td>
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<tr>
<td>Guardian (in case of minor)</td>
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</tbody>
</table>

Nominee details- Nomination Form ‘D’ (as applicable) to be filled and attached

Applicant status: Resident Individual [ ] , others [ ] , Please specify: ___________________________

2) Contact details of the Applicant:

<table>
<thead>
<tr>
<th>Address</th>
<th>Street / Village /City:</th>
<th>State:</th>
<th>Pin Code:</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

Telephone / Mobile No.  Email:

3) Investment details

<table>
<thead>
<tr>
<th>Grams of gold applied for</th>
<th>Issuance Price Per gram Gold.</th>
</tr>
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</table>

Total Amount of Investment: Rs. __________ (in figures) Rs. _______________________ only (in words)

Mode of subscription: [ ] Cash [ ] Cheque/DD [ ] Electronic Transfer

Cheque/DD No...................... dated ........../........../......... drawn on (Bank/branch)........................ for (Amount) `................ (Rupees…………………………………………………………………………only)

In case, investment exceeds Rs. 50,000 in cash, PAN number

4) Depository participant details (to avail of option to hold the bond in demat form)

Depository Name
NSDL [ ]; CDSL [ ]; For NSDL, enter 8 digit dp ID followed by 8 digit client ID, For CDSL enter 16 digit client ID

DP / Client ID

5) Bank Details (for Interest/Redemption payment)

Bank Name: ___________________________ Branch Name: ___________________________
Account No.: ___________________________ IFSC Code: ___________________________
Type of Account: ( ) Saving ( ) Current

6) Declaration: I/We hereby declare and undertake that (i) my/our aggregate investment in Sovereign Gold bonds does not exceed 500 Grams during the financial year 2015-16 and (ii) the Information furnish in this application form is correct, (iii) I have read and understood the details of information for the investors as well as rights and duties of investors (Copy attached). The agent/bank has explained the features of the scheme.

Signature (1st Applicant)*    Signature (2nd Applicant)    Signature Guardian
DATE: _______________ Place: _______________

* in case of Thumb impression, pl. turn overleaf

Date, Bank Stamp & Signature of the authorized official of the bank/Post Office
In case of thumb impression, attestation by two witnesses

Witnesses:
Name of 1st Witness…………………………………… Name of 2nd Witness……………………………………
Address…………………………………………………… Address……………………………………………………

Signature…………………………………… Signature……………………………………

H.U.F. declaration (mandatory, if applicant is Karta of HUF)

I, ......................................................, residing at the address given against First Applicant, do solemnly affirm that I am the Karta of the Hindu Undivided Family and as such have full powers to sell, endorse, transfer or otherwise deal in the Sovereign Gold bonds 2015, standing in the name of the HUF.

Specimen signature for and on behalf of the HUF (name of the HUF) ..............................................................

Place: .........................

Date: ......................... (Signature of the Karta with seal of HUF)

For Office use only

<table>
<thead>
<tr>
<th>Date of receipt of Application (DD/MM/YYYY)</th>
<th>BL A N o.</th>
<th>CIF No.</th>
<th>Date of Credit to Govt. A/C (DD/MM/YYYY)</th>
<th>Nomination registered on (DD/MM/YYYY)</th>
<th>HUF declaration obtained (Y/N)</th>
<th>Total limit declaration obtained (Y/N)</th>
<th>NEFT/ECS mandate obtained (Y/N)</th>
<th>Any other information</th>
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<tbody>
<tr>
<td>Item</td>
<td>Sovereign Gold Bonds 2015-16</td>
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</tr>
<tr>
<td>1) Category of Investor</td>
<td>The Bonds will be restricted for sale to resident Indian entities including individuals, HUFs, trusts, Universities, and to the charitable institutions.</td>
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<tr>
<td>2) Limit of investment</td>
<td>Minimum subscription 2 Grams and Maximum investment 500 Grams per investor per annum</td>
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<td>3) Date of Issue of bonds</td>
<td>Date of receipt of bond will be the same which is inscribed on the holding certificate.</td>
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<td>4) Forms of Bonds</td>
<td>De-mat and Physical both</td>
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<td>5) Interest Option</td>
<td>Half yearly Interval, will be served directly in to the account mentioned in the application form or in the Account linked with the Demat a/c.</td>
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<td>6) Post Maturity Interest</td>
<td>Post Maturity Interest is <strong>not payable</strong></td>
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<td>7) Bank account</td>
<td>It is mandatory for the investors to provide bank account details to facilitate payment of interest /maturity value</td>
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<td>8) Nomination Facility</td>
<td>The sole Holder or all the joint holders may nominate one or more persons as nominee</td>
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<td>9) Maturity period</td>
<td>8 years from date of issue</td>
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<td>10) Premature redemption</td>
<td>On the coupon dates from the 5th year of issuance.</td>
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<td>11) Tradability</td>
<td>Trading of these bonds on exchanges/NDS-OM shall be notified.</td>
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<tr>
<td>12) Loans from banks against the security of these bonds</td>
<td>The holders of the said securities shall be entitled to create pledge, hypothecation or lien in favour of scheduled banks.</td>
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<tr>
<td>13) Application forms</td>
<td>Branches of all the scheduled commercial banks, and designated Post offices</td>
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</table>
**Instructions for Investor/ Applicants**

a) Application should be complete in all respects.
b) Incomplete applications may be rejected or delayed till full particulars are available.
c) In case the application is submitted by a Power of Attorney (POA) holder, please submit original POA for verification, along with an attested copy.
d) In case the application is on behalf of a minor, please submit the original birth certificate from the School or Municipal Authorities for verification, together with an attested copy.
e) Please note that nomination facility is available to a Sole Holder or all the joint holders (investors) of an SGB.
f) In case nominee is a minor, please indicate the date of birth of the minor and a guardian can be appointed.
g) Nomination facility is not available in case the investment is on behalf of minor.
h) Please provide bank account details for receiving payment through Electronic mode
i) Please notify the change of bank account, if any, immediately.
j) POST MATURITY INTEREST IS NOT PAYABLE.
k) Indicate your date of birth.

**Rights of the Investors**

a) Holding Certificate will be issued to the investor.
b) The interest on the bond is paid half-yearly. The servicing of the Interest will be done through the bank A/c provided in the Application for or in through the A/c linked to the de-mat, as the case may be.
c) Application forms for investments under Sovereign Gold Bonds are available on the website at www.rbi.org.in/finmin.nic.in
d) A sole holder or all the joint holders may nominate one or more nominees to the rights of the bonds.
e) The nomination will be registered at the Office of Issue and a Certificate of Registration will be issued to the holder.
f) The nomination can be altered by registering a fresh nomination.
g) The existing nomination can be cancelled by a request to the Office of Issue.
h) The investor is entitled to receive repayment amount within five clear working days from the date of tender of application for early redemption.
In case the bank does not comply with the above, you may lodge a complaint in writing in to the nearest office of Reserve Bank of India as under:

THE REGIONAL DIRECTOR,
RESERVE BANK OF INDIA,
CONSUMER EDUCATION AND PROTECTION DEPARTMENT/
BANKING OMBUDSMAN
(LOCATION)

--------------------------------------

YOU MAY ALSO ADDRESS YOUR COMPLAINT TO:

THE CHIEF GENERAL MANAGER
INTERNAL DEBT MANAGEMENT DEPARTMENT
CENTRAL OFFICE
FORT, MUMBAI-400 001, MAHARASHTRA

Disclaimer:- I have read and understood the details of information for the investors as well as rights and duties of investors. The agent/bank has explained the features of the scheme to me.

Signature of the applicant
Form `B`
[See Paragraph 5(3)]

Acknowledgement Receipt

Application No..................................  

Date ........../........./..................

Received from Mr/ Mrs / Ms..........................................................Cash/ Demand Draft/Cheque No./Electronic Transfer.................. dated ...../....../..... drawn on (Bank and branch) ..........................................................  

................................ for ___ grams totaling an amount of  ₹............. (Rupees.............  

.................................................. only) for the purchase of Sovereign Gold Bond for a period of 8 years, interest payable on basis of the nominal value of  ₹...................... (Rupees..........................................................only).

(Stamp / Seal of the Receiving Office)
Form ‘C’
[See paragraph 6 (1)]

Government of India

राष्ट्रीय स्वर्ण बंधन (सोवर्न गोल्ड बॉण्ड)- 2015-16

SOVEREIGN GOLD BONDS-2015-16

Certificate Number-

मैं एतद द्वारा प्रमाणित करता/करती हूँ कि श्री/ श्रीमती __________, __________ यूनिट राष्ट्रीय स्वर्ण बंधन (सोवर्न गोल्ड बॉण्ड), 2015-16 के कुल प्रारंभिक निवेश ₹ __________ के राजस्त्रीकृत स्वत्वधारी हैं। राष्ट्रीय स्वर्ण बंधन (सोवर्न गोल्ड बॉण्ड), 2015-16 के प्रारंभिक निवेश पर प्रति वर्ष __________ प्रतिशत ब्याज दिया जाएगा, जिसका संदाय प्रति छह माह पर __________ तारीख और __________ तारीख को प्रतिवर्ष किया जाएगा। बंधन __________ को उन्मोचनीय होगा और बंधन के समय पूर्व उन्मोचन का विकल्प पांचवें वर्ष से ब्याज भुगतान की तारीखों को उपलब्ध होगा।

I hereby certify that, Smt./Shri ____________________________________________________________________________________________ is the registered holder of _____________________________________________________________________ units of Sovereign Gold Bonds 2015-16.

The Sovereign Gold Bond will bear interest at __________-percent per annum on initial investment of ₹ __________, payable at half yearly intervals on _____________________________________________________________________ and _____________________________________________________________________ every year. The Bonds are redeemable on __________ with the option for early redemption from fifth year on interest payment dates.

आवेदन प्राप्तकर्ता कार्यालय का नाम
Application Receiving Office name-

यह प्रमाणपत्र प्राप्तकर्ता आधारित है अतः हस्ताक्षर आवश्यक नहीं।
This is a system generated certificate and does not require any signature.
Form ‘D’  
[See paragraph 15]  

NOMINATION

I/We ………………………………………………………………………. (Name and address), the holder/s of Stock certificate/BLA No …………………. for the loan ………………… hereby nominate the following person/s who shall on my/our death have the right to the Government securities/Bonds and receive payment of the amount for the time being due on the Government securities / Bonds specified below:

PARTICULARS OF NOMINEE:

<table>
<thead>
<tr>
<th>Date of issue</th>
<th>Amount Rs.</th>
<th>Date of repayment</th>
<th>Full name with expanded initials and address of nominee</th>
<th>Date of birth in case of minor</th>
<th>Relationship to holder/s</th>
<th>Particulars of bank account</th>
</tr>
</thead>
<tbody>
<tr>
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</table>

To be filled in case if nominee is minor: As ……………………………………………………… the sole nominee above is a minor on this date, I/We appoint Shri/Smt./Kum ……………………………………………………… to receive the amount for the time being due to the above Government securities/Bond in the event of my/our death during the minority of the said nominee ……………………………………………………………………………………………………………………………

If the nomination is in substitution of the one already made: This nomination is in substitution of the nomination dated …………………. made by me/us and registered on your books at …………………. which shall stand cancelled on registration of this nomination.

Place: ___________ (Signature(s) of the Stock Certificate holder (s) / BLA holder(s))  
Date: ___________  

Signature with name and address of witnesses:  

1…………………………………………………………………………………………………………………………………………………………………………………………

2…………………………………………………………………………………………………………………………………………………………………………………………

Acknowledgment

We acknowledge having registered the nomination in favour of …………………. (Name of nominees) for the Stock Certificate/BLA No. …………………. for the loan …………………. and the nomination registration no. is …………………. Please quote the above nomination registration no. in all communication pertaining to change or cancellation of nomination.

Date: ___________   Authorised Official ___________
Form ‘E’
[See paragraph 15]

CANCELLATION OF NOMINATION

I/We ……………………………………… (name and address) do hereby cancel the nomination dated ……………………… made by me/us in respect of the following Stock certificate/Bond Ledger Account No., and registered by the Public Debt Office/branch of the Agency bank on ……………………..(date) vide registration no. …………………..

Particulars of the Stock Certificate/Bonds

<table>
<thead>
<tr>
<th>Date of Issue</th>
<th>Nomenclature of the loan</th>
<th>Stock Certificate / BLA No.</th>
<th>Amount (Rs.)</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

Place: ___________ (Signature(s) of the Stock Certificate holder(s))
Date: ___________ / BLA holder(s))

Signature with name and address of witnesses:

1………………………………………………………………………………………………
2………………………………………………………………………………………………

Acknowledgment

We acknowledge having cancelled the nomination in favour of ……………………
(Name of nominees) for the Stock Certificate/BLA No. ……………………. for the loan ………………… noted vide our nomination registration no. ………………… dated ………………….

Date: …………………. Authorised Official ………………………
FORM ‘F’
[See paragraph 16]

TRANSFER OF STOCK CERTIFICATES

I/We* …………………………. (transferor/s) do hereby assign and transfer my/our* interest or share in the inscribed stock certificate number ……………..of …………. percent Government Loan of …………… amounting to Rs. ………… being the amount /a portion of the stock for Rs. …………. as specified on the face of this instrument together with the accrued interest thereon to…………………………………… (transferee/s), his / her / their* executors, administrators or assigns, and I/We* ……………… do freely accept the stock certificate number ………………… to the extent it has been transferred to me/us*.

I/We* …………………………. (transferee/s) hereby request that on my/our* being registered as the holder/s of the stock transferred to me/us*, the above stock certificate to the extent it has been transferred to me/us* may be renewed in my/our* name (s)/converted in my/our* name(s).

@I/We* …………………………. (transferor/s) hereby request that on the above transferee(s) being registered as the holder/s of the stock hereby transferred to him/them*, the aforesaid stock certificate to the extent it has not been transferred to him/them* may be renewed in my/our* name (s).

As witness, our hand the …………… day of ………………… two thousand and ……………

Signed by the above-named transferor in the presence of** …………………
……………………………………………………………………………………………………
……………………………………………………………………………………………………

Signed by the above-named transferee in the presence of** …………………
……………………………………………………………………………………………………
……………………………………………………………………………………………………

Signature of transferor…………………..
Address: ………………………………………
……………………………………………………………………………………………………

Signature of transferee…………………..
……………………………………………………………………………………………………
……………………………………………………………………………………………………

*: Delete whichever is not applicable.
@: This paragraph is to be used only when a portion of the Certificate is transferred.
**: Signature, occupation and address of witness. Witness should be different for transferor and transferee.

Transferred:

Stock Certificate issued No/s ………………….. dated ……………………………..

Manager, Reserve Bank of India, ……………………..